

Capítulo II - Amazon rain forest subcanopy flow and the carbon budget: Manaus LBA Site - a complex terrain condition ²

Abstract

On the moderately complex terrain covered by dense tropical Amazon rainforest (Reserva Biologica do Cuieiras – ZF2 - 02°36'17.1"S, 60°12'24.5"W) subcanopy horizontal and vertical gradients of the air temperature, CO₂ concentration and wind field were measured for dry and wet periods in 2006. We tested the hypothesis that horizontal drainage flow over this study area is significant and can affect the interpretation of the high carbon uptake rates reported by previous works. A similar experimental design as the one by *Tóta et al.* [2008] was used with a network of wind, air temperature and CO₂ sensors above and below the forest canopy. A persistent and systematic subcanopy nighttime upslope (positive buoyancy) and daytime downslope (negative buoyancy) flow pattern on a moderately inclined slope (12%) was observed. The micro-circulations observed above the canopy (38 m) over the sloping area during nighttime presents a downward motion indicating vertical convergence and correspondent horizontal divergence toward the valley area. During the daytime an inverse pattern was observed. The micro-circulations above the canopy were driven mainly by buoyancy balancing the pressure gradient forces. In the subcanopy space the micro-circulations were also driven by the same physical mechanisms but probably with the stress forcing contribution. The results also indicated that the horizontal and vertical scalar gradients (e.g., CO₂) were modulated by these micro-circulations above and below canopy, then suggesting that estimates of advection using previous experimental approaches are not appropriate due to the tri-dimensional nature of the vertical and horizontal transport locally.

Key words: Amazon Rainforest; Advection, Drainage Flow, Eddy Covariance, Subcanopy.

² Tóta, J., Fitzjarrald, D.R., Parker, G., Sakai, R.K., Silva Dias, M.A.F., Manzi, A.O., 2009. Amazon rain forest subcanopy flow and the carbon budget: Manaus LBA Site - a complex terrain condition (to be submitted).

1. Introduction

The terrestrial biosphere is an important component of the global carbon system. The uncertainty level of its long term exchanges estimates is a challenge and has resulted in ongoing debate [*Baldocchi et al.*, 2008; *Aubinet et al.*, 2008]. For monitoring long-term net ecosystem exchange (NEE) of carbon dioxide, energy and water in terrestrial ecosystems, tower-based eddy-covariance (EC) techniques have been established worldwide [*Baldocchi et al.*, 2008].

It is now recognized that the EC technique has serious restrictions for application over complex terrain and under calm and stable nighttime conditions with low turbulence or limited turbulent mixing of air [e.g., *Goulden et al.*, 1996; *Black et al.*, 1996; *Baldocchi et al.*, 2001; *Massman and Lee*, 2002; *Loescher et al.*, 2006; *Aubinet et al.*, 2008]. To overcome this problem, the friction velocity (u_*)-filtering approach has been formalized by the FLUXNET committee for the estimation of annual carbon balances [*Baldocchi et al.*, 2001; *Gu et al.*, 2005]. This approach simply discarded calm nights flux data (often an appreciable fraction of all nights) and replaced them with ecosystem respiration rates found on windy nights [*Miller et al.*, 2004]. *Papale et al.*, [2006] pointed out that this approach itself must be applied with caution and the friction velocity (u_*) corrections threshold is subject to considerable concerns and is very site specific. *Miller et al.*, [2004] reported that depending of the u_* threshold value used to correct the flux tower data at Santarem LBA site the area can change from carbon sink to neutral or carbon source to the atmosphere.

The transport of CO₂ by advection process has been suggested by several studies as the principle reason for the “missing” CO₂ at night [*Lee*, 1998; *Finnigan*, 1999; *Paw U et al.*, 2000; *Aubinet et al.*, 2003; *Feigenwinter et al.*, 2004; *Staebler and Fitzjarrald*, 2004]. The search for this missing CO₂ has spurred a great deal of research with the goal of explicitly estimating advective fluxes in field experiments during the last decade, in order to correct the NEE bias over single tower eddy covariance measurements (*Aubinet et al.*, 2003, 2005;

Staebler and Fitzjarrald, 2004, 2005; Feigenwinter et al., 2004; Marcolla et al., 2005; Wang et al., 2005; Sun et al., 2007; Heinesch et al., 2008; Leuning et al., 2008; Tóta et al., 2008; Yi et al., 2008; Feigenwinter et al., 2009a, b).

The complexity of topography and the presence of the valley close to the eddy flux tower have increased the importance to investigating if subcanopy drainage flow account for the underestimation of CO₂ as past studies have asserted [*Froelich and Schmid, 2006*]. The Manaus LBA site is an example of moderately complex terrain covered by dense tropical forest. The NEE bias is reported by previous works [*Kruijt et al., 2004; de Araújo et al., 2008; de Araújo, 2009; and references there in*], and a possible explanation for this is that advection process is happening in that site. This work examines subcanopy flow dynamics and local micro-circulations features and how they relate to CO₂ spatial and temporal distribution on the Manaus LBA site in contrast with previous work done during the Santarem LBA Site experiment [see, *Tóta et al., 2008*].

2. Material and Methods

2.1. Site description

The study site (54° 58'W, 2° 51'S) is located in the Cuieiras Biological Reserve, controlled by National Institute for Amazon Research (INPA), about 100 km northeast from Manaus city. At this site, named K34, was implemented a flux tower with 65m height to monitoring long term microclimate, energy, water and carbon exchanges (*Araújo et al., 2002*), and various studies that have been conducted in its vicinity. The measurements are part of the Large-Scale Biosphere-Atmosphere experiment in Amazonia (LBA). Figure 1 presents the study site location including the topographical patterns where the maximum elevation is 120m and the total area (upper panel) is 97.26 km², with distribution of the 31% of plateau, 26% of slope and 43% of valley [*Rennó et al., 2008*]. The site area is formed by a topographical feature with moderately complex terrain including a landscape with mosaics of plateau, valley and slopes, with elevation differences about 50m (Figure 1), and with distinct vegetation

cover (Figure 2). The eddy flux tower at Manaus K34 site has footprints that encompass this plateau-valley mosaic.

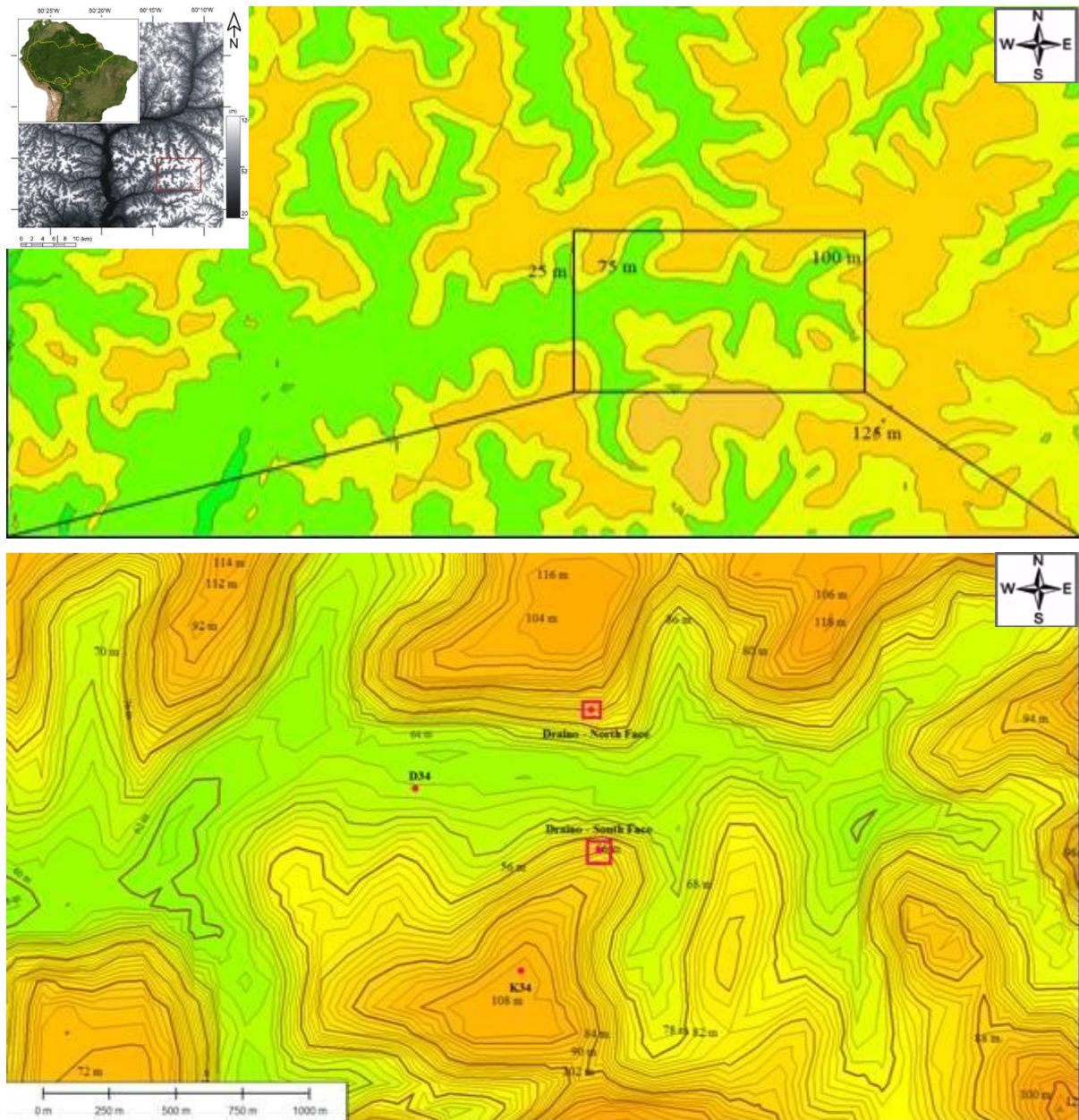


Figure 1: Detailed measurements towers's view in the ZF-2 Açu catchment (East-West valley orientation) from SRTM-DEM datasets. The large view in the above panel and below panel the points of measurements (B34 – Valley, K34 – Plateau, and subcanopy Draino system measurements over slopes in south and north faces (red square)).

The vegetation cover on the plateau and slope areas is composed by tall and dense terra firme (non-flood) tropical forest with height varying 30 to 40m, maximum surface area density of the $0.35 \text{ m}^2\text{m}^{-3}$ (Figure 2b, see also *Parker et al.*, [2004]), and average biomass of

the 215 to 492 ton.ha⁻¹ [Laurance *et al.*, 1999; Castilho, 2004]. On the valley area the vegetation is open and smaller with heights from 15 to 25 m, but with significant surface area density more than the 0.35 m²m⁻³ (Figure 2b). The soil type on the plateau and slopes area is mainly formed by Oxisols (USDA taxonomy) or clay-rich ferrasols ultisols (FAO soil taxonomy), while on the valley area, waterlogged podzols (FAO)/spodosols (USDA) with sand soil low drained predominates. Also, in the valley area the presence of small patchy of *Campinarana* typical open vegetation with low biomass is also common [Luizão *et al.*, 2004].

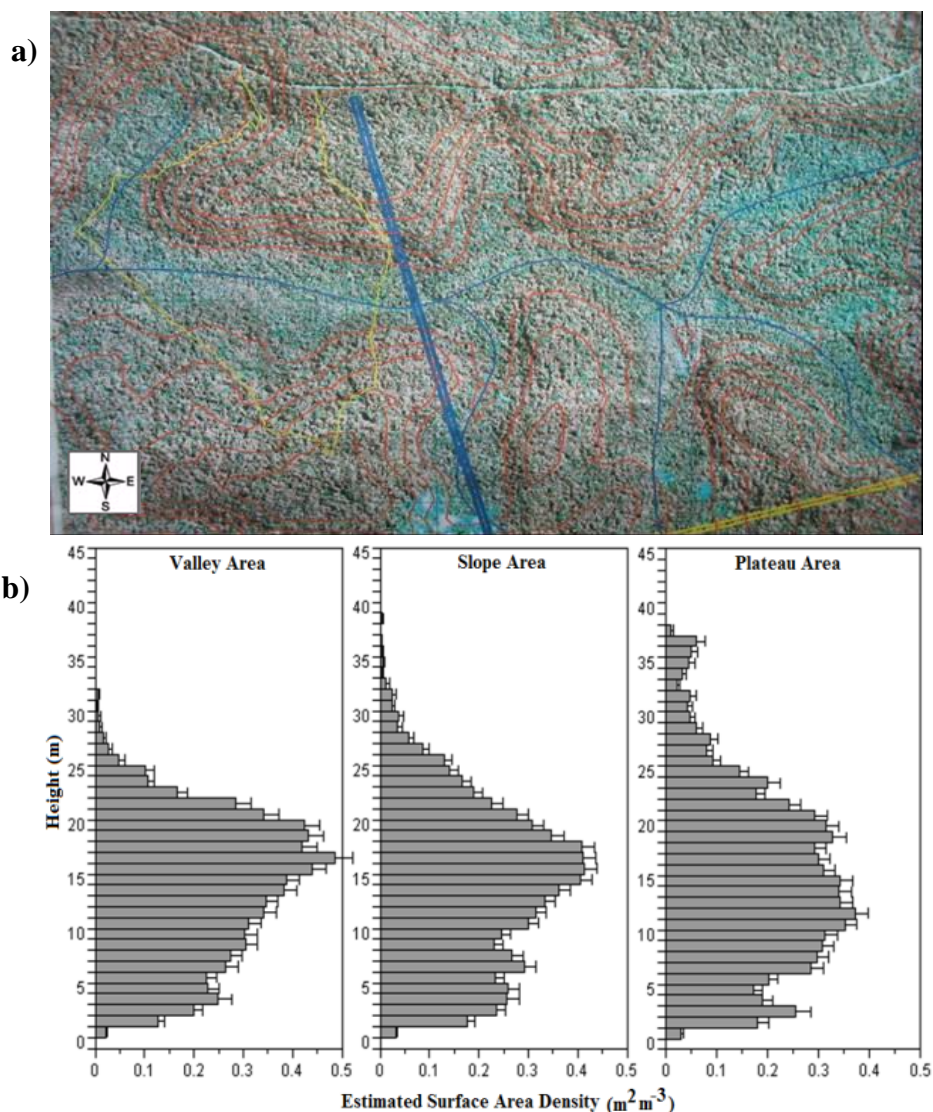


Figure 2: (a) IKONOS's image of the site at Açú Cachment with level terrain cotes and vegetation cover and (b) vegetation structure measured from LIDAR sensor over yellow transect in (a). From (a) the valley vegetation (blue color) and vegetation transition to plateau areas (red colors).

The precipitation regime on the site show wet (December to April) and dry (June to September – less than 100 mm.month⁻¹) periods. The total annual rainfall is about 2400 mm and the average daily temperature is from 26 (April) to 28°C (September). For more detailed information about the meteorology and hydrology of this site see *Waterloo et al.* [2006], *Cuartas et al.* [2007], *Hodnett et al.* [2008], *Tomasella et al.* [2008], *Malhi et al.* [2008] and *de Araújo* [2009].

2.2. Measurements and instrumentation

The datasets used in this study include a measurement system to monitor airflow above and below the forest, horizontal gradients of CO₂, and the thermal structure of the air below the canopy, named “DRAINNO System” [see, *Tóta et al.*, 2008]. The data used in this study were collected during the wet season (DOY 1-151) and the dry season (DOY 152-250) of the year 2006. Complementary information was used from flux tower K34 (LBA tower) on the plateau, and sonic anemometer data collected in the valley flux tower (B34, see *de Araújo* [2009] for details).

The flux tower K34 includes turbulent EC flux and meteorological observations of the vertical profiles of the air temperature, humidity and CO₂/H₂O concentrations, and vertical profile of wind speed, as well as radiation measurements. The fast response eddy flux data were sampled at 10 Hz and slow response (air temperature and wind profiles) at 30 min average [see *Araújo et al.*, [2002] for details information].

- *DRAINNO measurement System – Manaus LBA ZF2 site*

The Draino measurement system used in Manaus LBA Site was similar to that developed by State University of New York, under supervision of Dr. David Fitzjarrald), and applied at Santarem LBA Site, including the same methodological procedures and sampling rates [see, *Tóta et al.*, 2008]. However, due to the terrain complexity, it was modified for Manaus forest conditions including a long distance power line and duplication of CO₂ observations for different slopes areas (Figure 4). The Draino measurement system used in Manaus LBA Site was mounted in an open, naturally ventilated wooden house (Figure 3).



Figure 3: Draino measurement system used in Manaus LBA (South Face, see also Figure 4).

The system and sensors were deployed (Figure 4) with measurements of air temperature and humidity (red points), CO₂ concentration (green points), and wind speed and direction (blue points), for both south and north faces. The observations of the 3-D sonic anemometer were sampled at 10 Hz and all the other parameters (T, RH, and CO₂) were sampled at 1 Hz (Figure 4).

The acquisition system developed at ASRC was employed (Staebler and Fitzjarrald, 2005). It consists of a PC operating with Linux, an outboard Cyclades multiple serial port (CYCLOM-16YeP/DB25) collecting and merging serial data streams from all instruments in real time, the data being archived into 12-hour ASCII files. At Manaus LBA Site two systems in the both south and north valley slope faces were mounted (Figure 3 and 4).

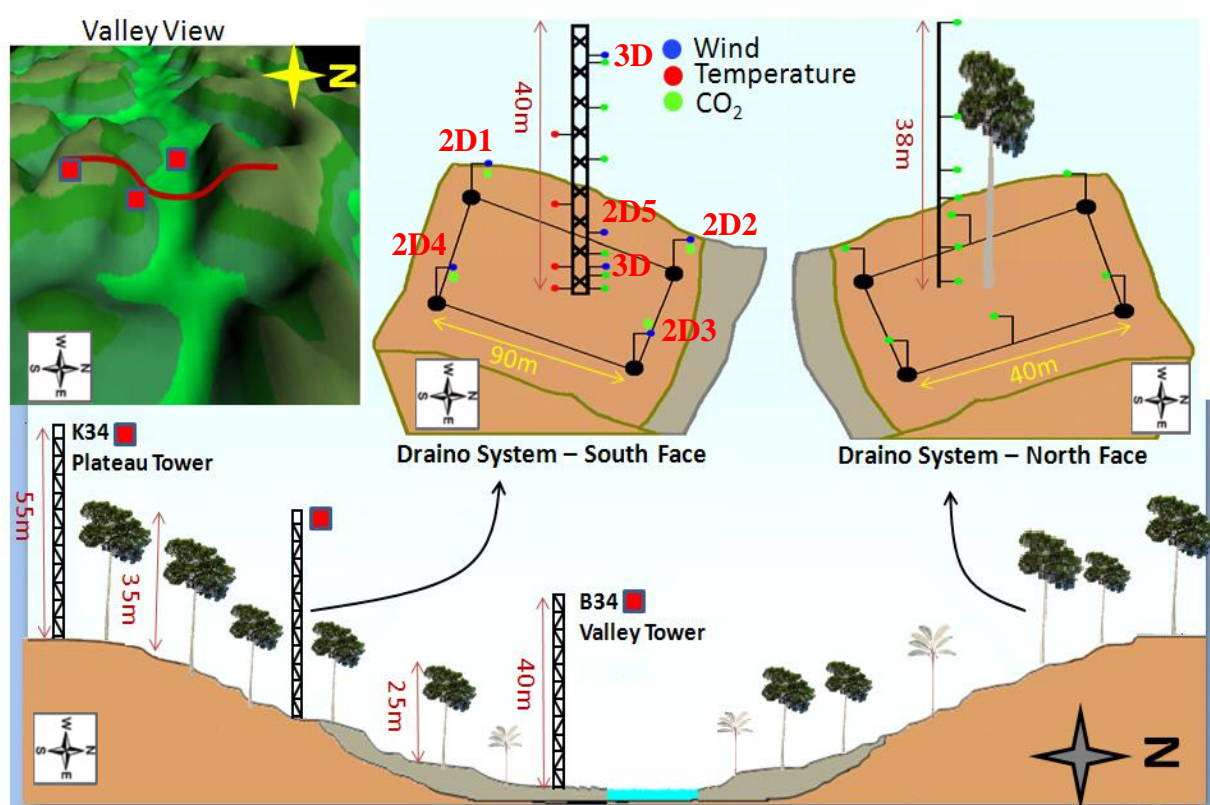


Figure 4: Draino measurement system (South and North Slope face) implemented at Manaus LBA Site, including topographic view and instrumentation deployed.

For each slope face, a single LI-7000 Infrared Gas Analyzer (LI-COR inc., Lincoln, Nebraska, USA) was used. A multi-position valve (Vici Valco Instrument Co., Inc.) controlled by a CR23x Micrologger (Campbell Scientific, Inc., Logan, Utah, USA), which also monitored flow rates was also used. This procedure minimizes the potential for systematic concentration errors to obtain the horizontal and vertical profiles. Following *Staebler and Fitzjarrald* [2004] and *Tóta et al.* [2008] a similar field calibration was

performed during the observations at Manaus LBA Site, including initial instrument intercomparison. The result was similar that obtained by *Tóta et al.* [2008], with CO₂ mean standard error was < 0.05 ppm and mean standard error of about 0.005 ms⁻¹ for wind speed measurements. After intercomparison, the sonic anemometers and the CO₂ inlet tubes were deployed as shown in Figure 4.

On the south face, the instrument network array (Figure 4 and Table 1) consisted of 6 subcanopy sonic anemometers, one 3-D ATI (Applied Technologies Inc., CO, USA) at 2m elevation in the center of the grid (named 3-D ATI), and 5 SPAS/2Y (Applied Technologies Inc., CO, USA), 2-component anemometers (1 sonic at 6m in the grid center and 4 sonic along the periphery at 2m, see Figure 4), with a resolution of 0.01 m s⁻¹. Also, a Gill HS (Gill Instruments Ltd., Lymington, UK) 3-component sonic anemometer was installed above the canopy (38 m). The horizontal gradients of CO₂/H₂O were measured in the array at 2 m above ground, by sampling sequentially from 4 horizontal points surrounding the main tower location at distances of 70-90m, and from points at 6 levels on the main Draino south face tower, performing a 3 minute cycle. On the north face, similar CO₂ measurements were mounted including a 6 level vertical profile and 6 points in the array at 2 m above ground, performing a 3 minute cycle.

On both slope faces the air was pumped continuously through 0.9 mm Dekoron tube (Synflex 1300, Saint-Gobain Performance Plastics, Wayne, NJ, USA) tubes from meshed inlets to a manifold in a centralized box. A baseline air flow of 4 LPM from the inlets to a central manifold was maintained in all lines at all times to ensure relatively “fresh” air was being sampled. The air was pumped for 20 seconds from each inlet, across filters to limit moisture effects. The delay time for sampling was five seconds and the first ten seconds of data were discarded. At the manifold, one line at a time was then sampled using an infrared gas analyzer (LI-7000, Licor, Inc.). To minimize instrument problems, only one LI-7000 gas analyzer sensor, for each slope face, was used to perform vertical and horizontal gradients of the CO₂.

Table 1. DRAINNO system Sensors at ZF2 LBA Manaus Site

Level (m)	Parameter	Instrument
38	u' v' w' T'	Gill 3D sonic anemometers
2	u' v' w' T'	ATI 3D sonic anemometer
6,2	u' v' w' T'	CATI/2 2D sonic anemometers
2	CO ₂ Concentration (horizontal array)	LI-7000 CO ₂ /H ₂ O analyzer
38,26,15,3,2,1	CO ₂ , H ₂ O Profile (South face)	LI-7000 CO ₂ /H ₂ O analyzer
35,20,15,11,6,1	CO ₂ , H ₂ O Profile (North face)	LI-7000 CO ₂ /H ₂ O analyzer
18,10,2,1	Air Temperature and Humidity	Aspirated thermocouples

3 – Results and Discussion

The datasets analyzed in this study were obtained during the periods defined by dry (DOY 1-150 January to June) and wet (DOY 152-250 July to October) of the 2006. Figure 5 presents an example of the datasets cover, with 10 days composite statistic, for CO₂ concentration and air temperature at south face area of the DRAINNO system and the total precipitation on the plateau K34 tower measurements.

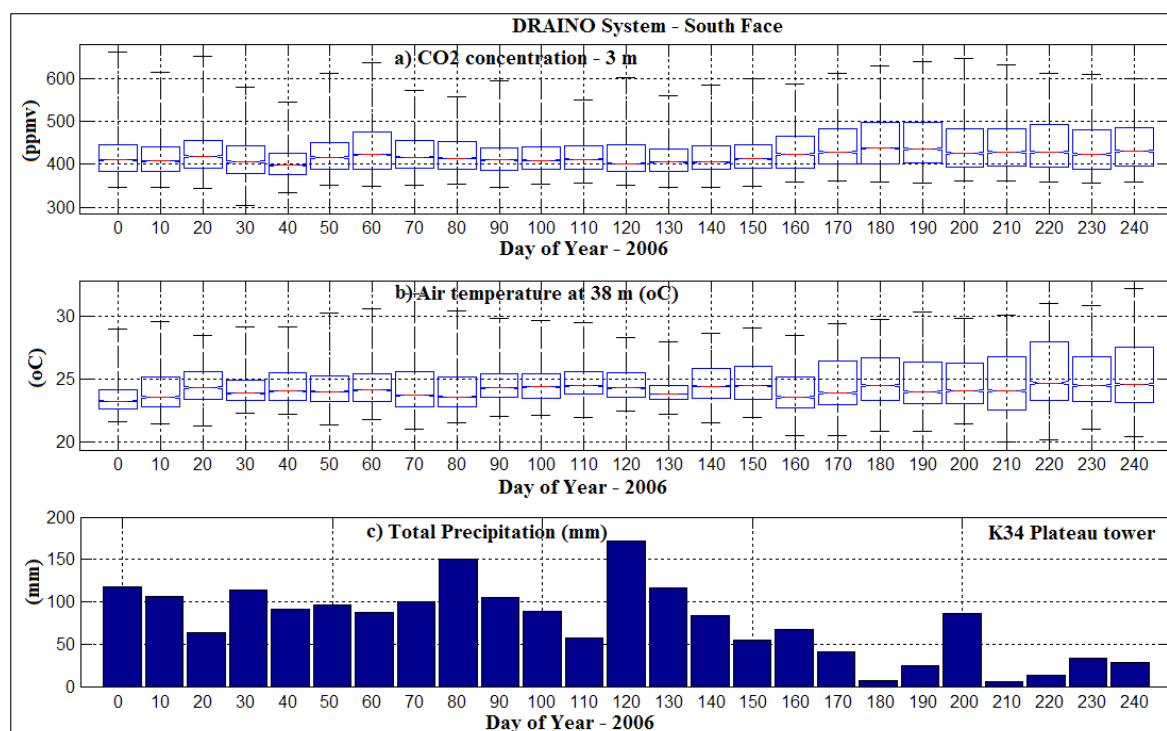


Figure 5: 10 days time series of the CO₂ concentration (a), air temperature (b) (DRAINNO System) and total precipitation (c) (plateau tower).

The measurements covered almost the entire year of 2006, including dry, wet and the transition from wet to dry season. The air temperature amplitude above canopy on the slope area of the DRAINO System was higher, as expected, in the dry season. A good relationship is observed between CO₂ concentration and air temperature with much large amplitudes in the dry season than in the wet season. It is probably associated with less vertical mixing during dry than wet season producing much higher subcanopy CO₂ concentration and vertical gradient along the forest.

3.1. Air Temperature field

3.1.1 - Plateau K34 tower

The vertical profiles of air temperature from plateau K34 tower show a very different pattern from that on the slope area, probably due to canopy structure differences (Figure 2b, *Parker et al.*, [2004]). The canopy structure is important for characterizing its thermal regime as it can be seen in Figure 6. The mean canopy layer stores large quantity of heat during the daytime and distributes it downward and upward throughout the nighttime (Figure 6, 7).

Above canopy layer, over plateau area, the neutral or unstable conditions were predominant during the daytime for both seasons (Figure 6a, c). While during the nighttime stable conditions are present for the dry period (Figure 6b) and neutral to stable conditions for the wet period (Figure 6d). Similar pattern has been reported elsewhere for plateau forests in the Amazonia (*Fitzjarrald et al.*, [1990]; *Fitzjarrald and Moore*, [1990]; *Kruijt et al.*, [2000]; *Goulden et al.*, [2006]).

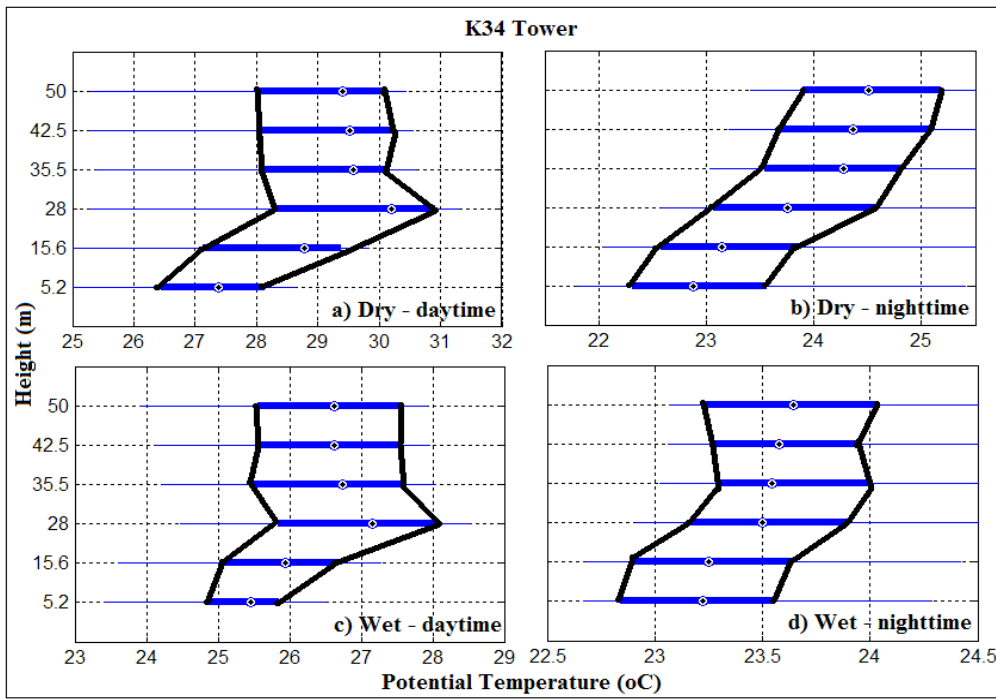


Figure 6: Boxplot of the virtual potential temperature vertical profile for dry (a, b) and wet periods (c, d) of the 2006 during night (b, d) and daytime (a, c), on the plateau K34 tower.

The below-canopy layer ambient air on the plateau area was stable at all times (Figure 6a, b, c, d), indicating that this layer is stable where the cold air concentrated in the lower part of the canopy air space as shown in Figure 7.

Figure 7 presents daily course of the vertical deviation of the virtual potential temperature, e.g., $([\theta'_v = \theta_v(z) - \overline{\theta_v(z)}_{5.2}^{55}])$, the temperature differences from each level in relation to the vertical average profile. The subcanopy air space was relatively colder during both dry and wet season, showing a similar feature of strong inversion. The same pattern was reported by *Kruijt et al.* [2000] measured over a tower located 11 km northeast of our site with a similar forest composition.

Note that a very interesting length scale can be extracted from the observation when the deviation is about zero. That vertical length scale has mean value of about 30 m during nighttime and 20 m during daytime (yellow color in the Figure 7a, b). Those values are comparable with above canopy hydrodynamic instability length scale used in most averaged

wind profile models [Raupach *et al.*, [1996]; Pachêco, [2001]; Sá e Pachêco, [2006]; Harman and Finnigan, [2007]].

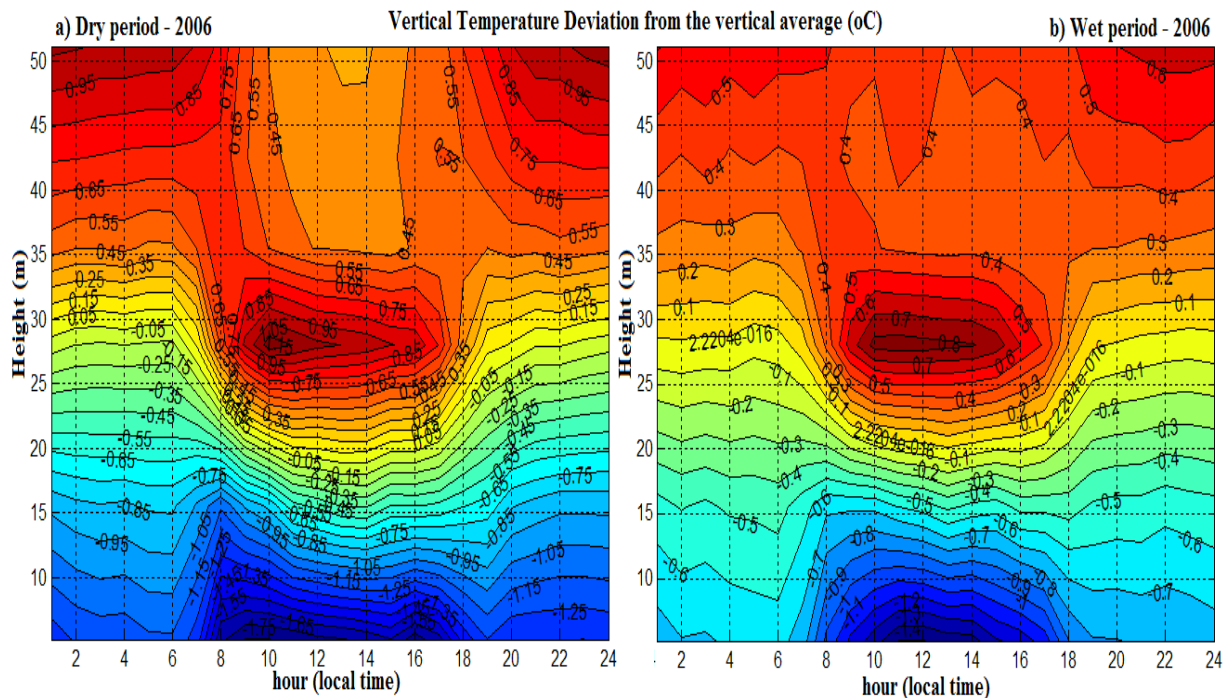


Figure 7: Daily course of the vertical deviation of the virtual potential temperature ($[\theta'_v = \theta_v(\mathbf{z}) - \overline{\theta_v(\mathbf{z})}]_{5,2}$), during dry (a) and wet (b) periods of the 2006, over plateau K34 tower.

3.1.2 – DRAINOS System Slope tower

On the slope area south face (see Figure 2) air temperature at 5 levels underneath the canopy (heights 17, 10, 3, 2, and 1 m) was measured. The observations of the air temperature profile inside canopy are used to monitor the possible cold or warm air layer that generates drainage flow on the slope area. Figure 8 presents observations of the virtual potential temperature vertical profile for both dry and wet periods, during both day and nighttime. The pattern on the slope area is clearly very different when compared with that on the plateau K34 area (Figure 6), except in dry period during daytime when the air was stable inside the canopy.

During nighttime (wet and dry periods) a very stable layer predominates with inversion at about 9 m. These can likely be interpreted as a stable layer between two convective layers is associated with cold air (Figure 8). Yi [2008] hypothesized about a similar “*super stable layer*” developing during the night in sloping terrain at the Niwot Ridge AmeriFlux site. This hypothesis suggests that above this layer, vertical exchange is most important (vertical exchange zone) and below it horizontal air flow predominates (longitudinal exchange zone). The relationship between subcanopy thermal structure and the dynamic of the airflow on the slope area will be discussed in next section.

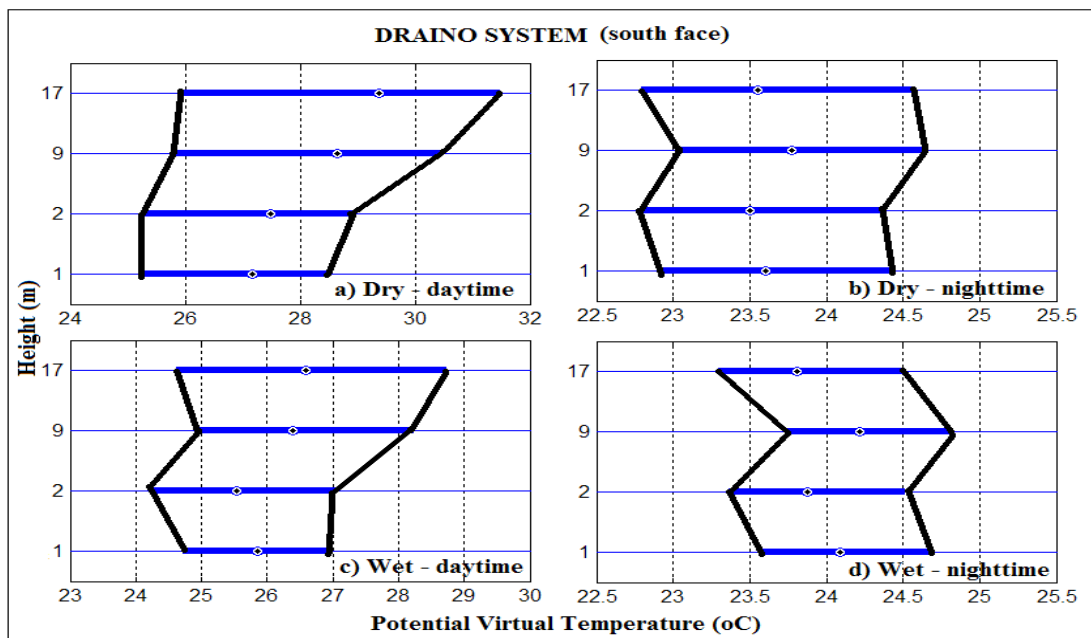


Figure 8: Boxplot of the virtual potential temperature vertical profile for dry (a, b) and wet periods (c, d) of the 2006 during night (b, d) and daytime (a, c), on the slope area DRAINNO System tower (south face, see Figure 2).

Figure 9 presents a daily cycle composite of the virtual potential temperature deviation from the vertical average ($[\theta_v(z) - \overline{\theta}_v(z)]_1^{18}$). There is persistent cold air entering during nighttime for both dry and wet periods, a characteristic pattern observed on the slope area. It is a very different vertical thermal structure from that of the plateau area. The cold air in the subcanopy upper layer is probably associated with top canopy radiative cooling, while the

cold air just above floor layer is associated with upslope wind from the valley area (as discussed later in the next section).

The average of the vertical gradient virtual potential temperature was negative during nighttime and positive during daytime for both periods dry and wet (Figure 9). This observation shows that during the daytime a relative cooler subcanopy air layer predominates creating a inversion conditions. In contrast, a relative hotter subcanopy air layer generates a lapse conditions during nighttime. In general that is not a classical thermal condition find on the sloping open areas without dense vegetation. A similar pattern was reported by *Froelich and Schmid* [2006] during “leaf on” season.

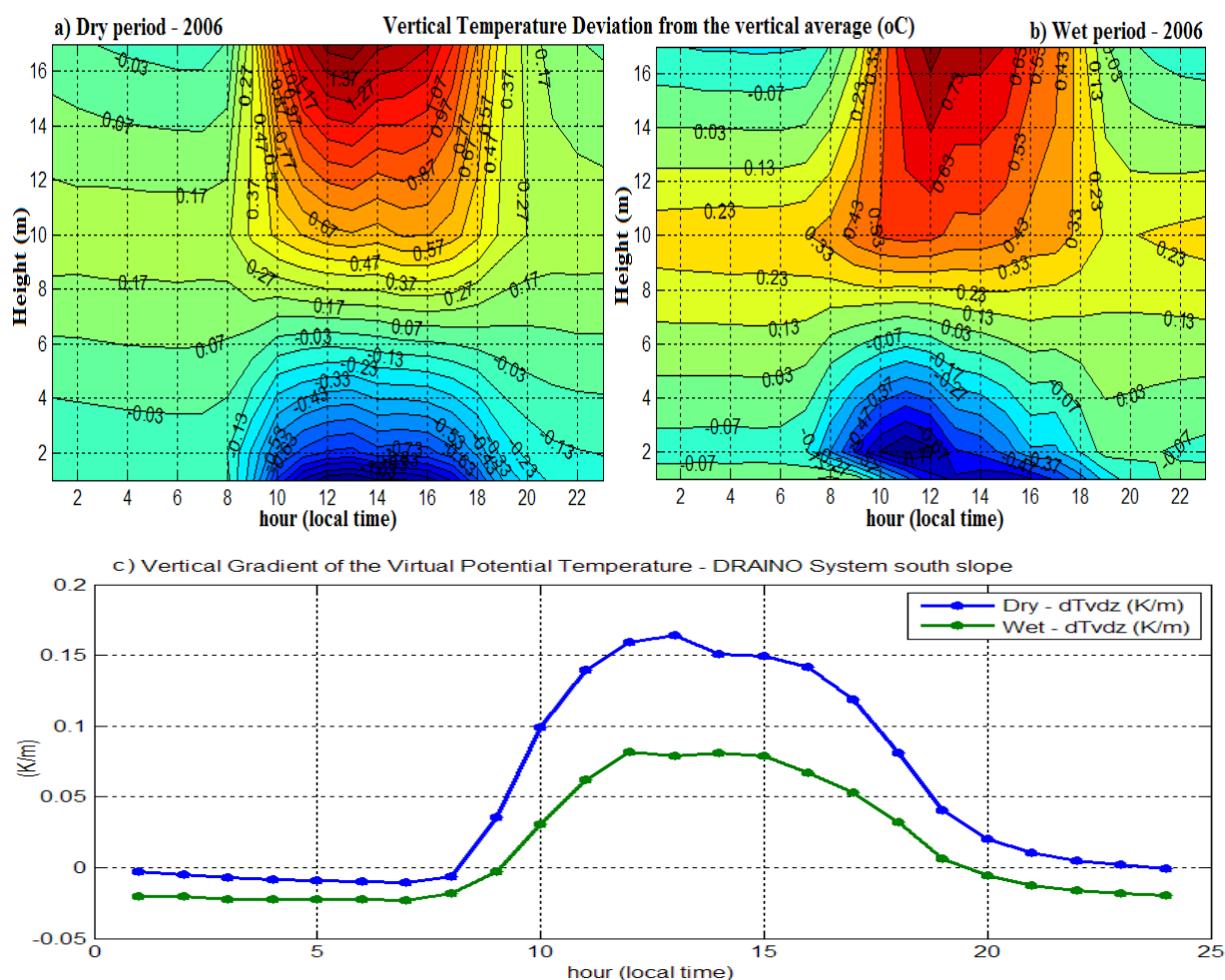


Figure 9: Daily course of the vertical deviation of the virtual potential temperature for dry (a) and wet (b) periods of the 2006, and the virtual potential temperature vertical gradient (c), over slope area DRAINNO System tower.

3.2. Wind field

The LBA Manaus Site has moderately complex terrain when compared with the Santarem LBA Site (Figure 1, 2). This complexity generates a wind airflow regime much complex to be captured by standard measurement system like a single tower. At the Manaus LBA site, we implemented a complementary measurement system on the slope area to support the plateau K34 tower and better understand how the airflow above and below the canopy interact and also to describe how the valley flow influences the slope airflow regimes. Note that the valley in the microbasin is oriented from East to West (Figure 2, 4).

3.2.1 – Horizontal wind regime - *above canopy*

3.2.1.1 - Plateau K34 tower

Above the canopy (55m above ground level – a.g.l.) on the plateau area K34 tower, the wind regime was strongest (most above 2 m.s⁻¹) during daytime for both dry and wet periods of 2006, with direction varying mostly from southeast and northeast for dry and wet period, respectively (Figure 10).

During nighttime, the wind regime was slower (most below 3 m.s⁻¹) and with same direction variation from northeast to southeast (Figure 10). As reported by *de Araújo* [2009], the above canopy valley area wind speed and direction was different from that of the plateau area, suggesting a decoupling mainly during nighttime. A clear channeling effect on the valley wind regime was observed; which was oriented by microbasin topography during both day and nighttime, with direction of the flow in the valley area determined by the valley orientation [as also reported by *de Araújo*, 2009].

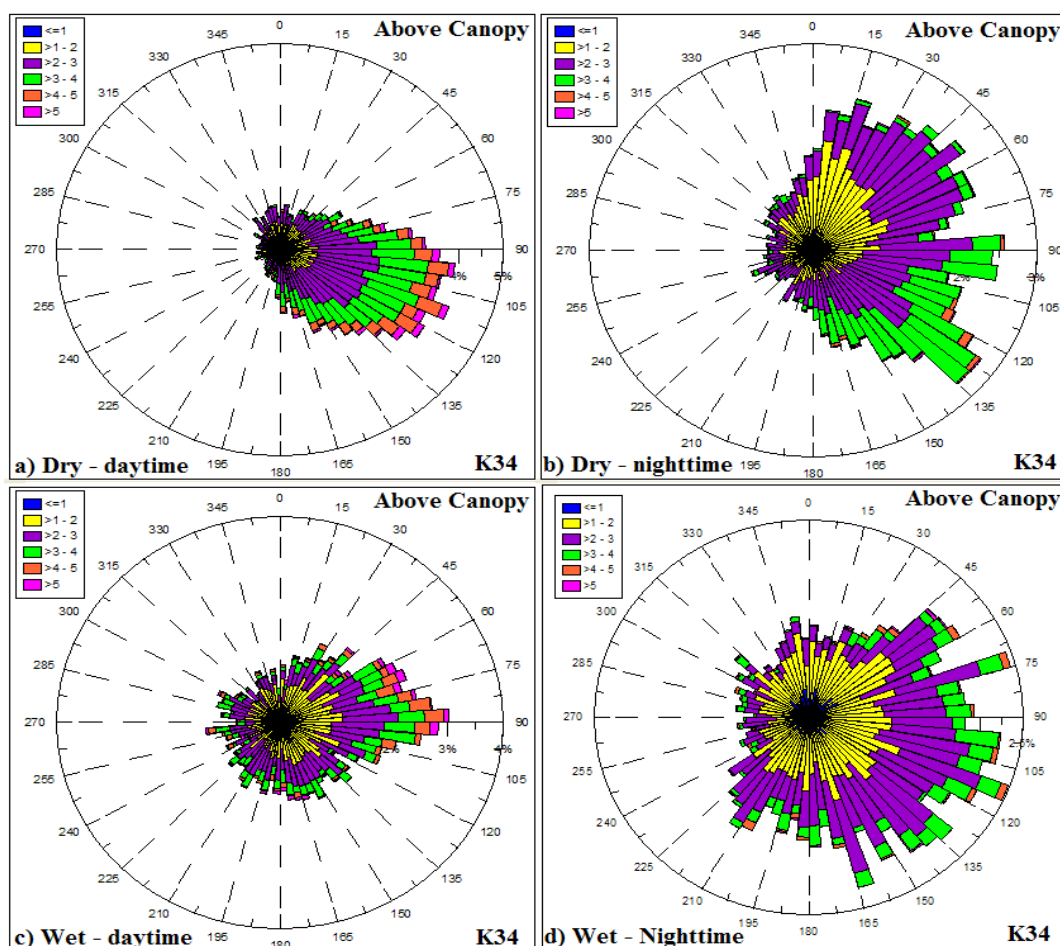


Figure 10: Frequency distribution of the wind speed and direction. For dry (a, b) and wet (c, d) periods from 2006 during day (a, c) and nighttime (b, d), on the plateau K34 tower.

3.2.1.2 -DRAINO System slope tower

The above canopy (38 m above ground level – a.g.l.) on the slope area DRAINO system south face (see Figure 4, 3D sonic), the wind regime was very persistent from East quadrant direction during day and nighttime in both dry and wet periods of the 2006 (Figure 11). The daytime wind speed during the dry season was between 1 to 3 m s^{-1} and much stronger during the wet period with values up to 4 m s^{-1} . During the nighttime the wind speed was slower than 2 m s^{-1} , except from northeast during the wet period. The wind direction pattern was similar to that on the plateau K34 tower (Figure 10) prevailing from northeast to

southeast. This observation indicates that the airflow above the canopy on the slope area is related to how the synoptic flow enters in the eastern part of the microbasin (see Figure 2, 4).

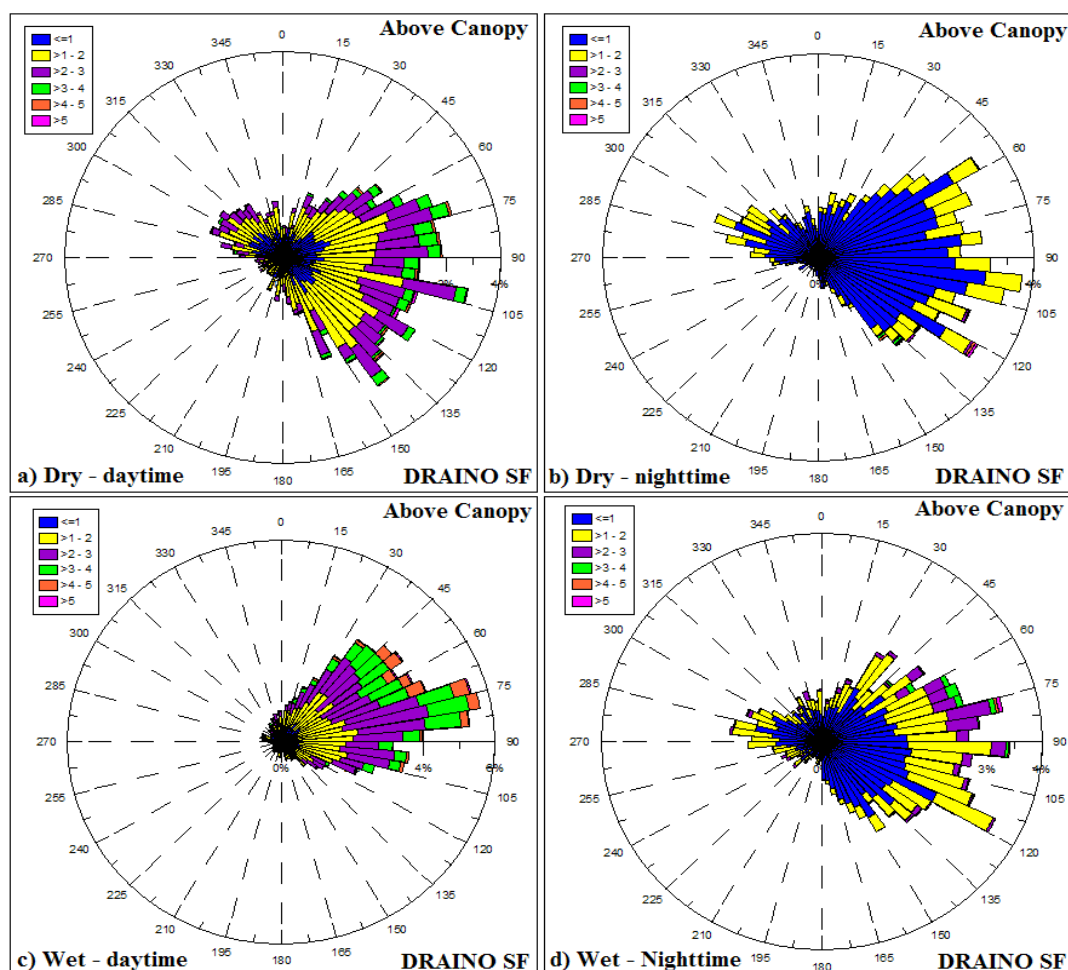


Figure 11: Frequency distribution of the wind speed and direction above canopy (38 m above ground level – a.g.l). For dry (a, b) and wet (c, d) periods from 2006 during day (a, c) and nighttime (b, d), on the slope area at DRAINNO system tower.

3.2.2 – Horizontal wind regime – Subcanopy array measurements (2 m a.g.l)

In Figure 12 the subcanopy array frequency distribution of the wind speed and directions is shown for both dry and wet periods of the 2006, during both day and nighttime. The observations show that the airflow in the subcanopy is very persistent and with similar pattern during both dry and wet periods of 2006. Note that the south slope area in the DRAINNO System (see Figure 4) is *downslope* from **south** and *upslope* from **north** quadrants.

Subcanopy daytime wind regime

During daytime, in both dry (Figure 12a-c) and wet periods (Figure 12g-i), the wind direction prevailed from south-southeast (190-150 degrees) on the three southern slope regions [Figure 12, Top (a, d, g, j), Middle (b, e, h, k) and Low slope part (c, f, i, l)]. The airflow in the subcanopy was decoupled from the wind regime above the canopy (Figure 11) most of the time.

The wind direction in the subcanopy airflow was dominated by a daytime downslope regime during the majority of the period of study, suggesting a systematic daytime katabatic wind pattern. The wind speed in the subcanopy during the daytime was mostly from 0.1 to 0.4 m/s, and strongest at middle slope region (Figure 12b, e, h, k) about 0.3 to 0.5 m/s or above. A similar daytime katabatic wind regime was reported by *Froelich and Schmid* [2006] during “leaf on” season in Morgan-Monroe State Forest (MMSF), Indiana USA.

The daytime downslope wind was also supported by the subcanopy thermal structure (Figure 9), where the air was cooling along the day by inversion of the virtual potential temperature profile with a positive vertical gradient (Figure 9c). This results shows that subcanopy flows in a sloping dense tropical rainforest are opposite to the classical diurnal patterns of slope flows studied elsewhere in the literature [e.g.; *Manins and Sawford*, 1979; *Sturman* (1987); *Amanatidis et al.*, 1992; *Papadopoulos and Helmis*, 1999; *Kossmann and Fiedler*, 2000]. It is important to note that few studies have been done in forested terrain and it is unclear why similar reversed diurnal patterns have not been observed in studies at other forested sites [*Aubinet et al.*, 2003; *Staebler and Fitzjarrald*, 2004; *Yi et al.*, 2005], except by a single point subcanopy measurement observed by *Froelich and Schmid* [2006].

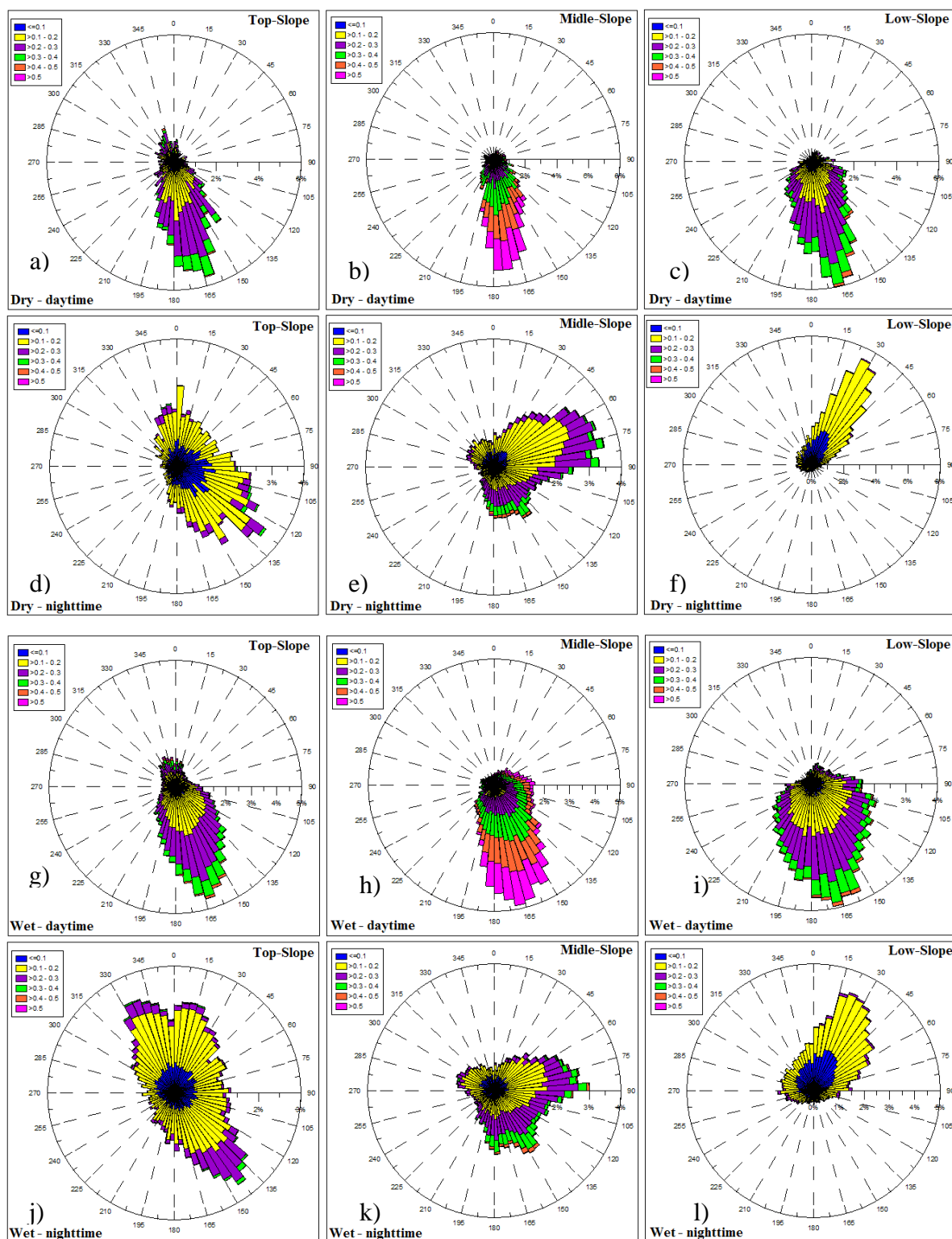


Figure 12: Frequency distribution of the wind speed and direction in the subcanopy array (2 m above ground level – a.g.l) on the microbasin south face slope area at DRAINO horizontal array system (see Figure 4). For dry (a-f) and wet (g-l) periods from 2006, during day (a, b, c, g, h, i) and nighttime (d, e, f, j, k, l).

Subcanopy nighttime wind regime

The nighttime subcanopy wind regime on the slope area (see the terrain on Figure 4) was very complex and differentiates from that one above the canopy vegetation.

It was observed that, on the up-slope part, the nighttime airflow was southeast downsloping direction (130° - 170°) and northeast-northwest (45° - 340°) uphill direction (Figure 12d, j). In the middle-part of slope area, the wind moved uphill (from northeast; 30° - 90°) and also downsloping wind direction from southeast (Figure 12e, k), and with lightly higher wind speed. And finally, on the lower-part of the slope area (Figure 12f, l) the wind direction prevailed from the northeast (10° - 70°), indicating upsloping pattern (anabatic).

Is interesting to note that, on the up-slope area, the wind direction regime (northeast-northwest, 45° - 340°) suggest a reversal lee side airflow (re-circulation or separation zone) probably in response to the above canopy wind (see Figure 11b, d). It is has been suggest by *Staebler* [2003] and reported by simulations using fluid dynamic models [*Katul and Finnigan*, 2003; *Poggi et al.*, 2008].

Also, the upsloping subcanopy flows pattern, on the lower-part the slope area, is supported by subcanopy relative heat air layer along the slope during the night, as observed by lapse rate condition of the virtual potential temperature negative vertical gradient (Figure 9c). This observation does not follow the classical concept of nighttime slope flow pattern. *Froelich and Schmid* [2006], has reported similar feature where they found anabatic wind regime during nighttime in their seasonal forest study area.

Figure 13 presents the frequency distribution of the subcanopy wind direction on the south face slope area at DRAINO horizontal array system during upsloping (from north quadrant) and downsloping (from south quadrant) events.

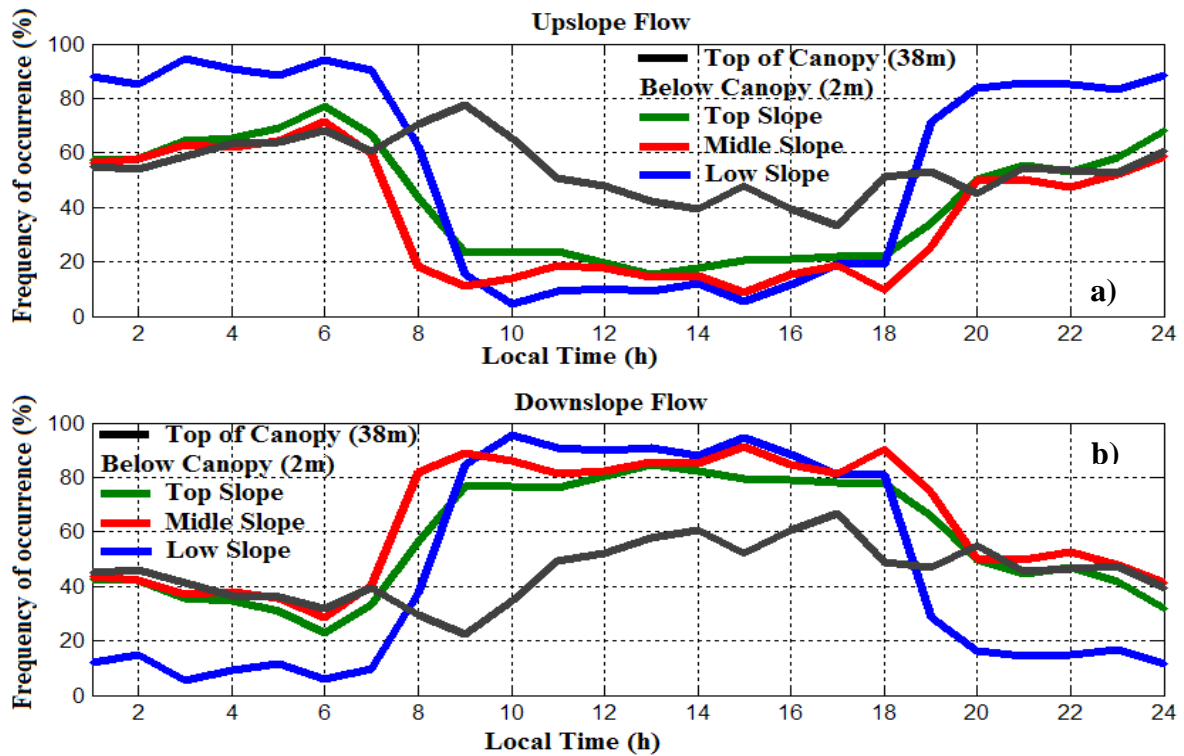


Figure 13: Frequency distribution of the subcanopy wind direction (a) **upsloping** (from north quadrant) and (b) **downsloping** (from south quadrant) on the south face slope area at DRAINNO horizontal array system (see Figure 4).

3.2.3 – Mean Vertical wind velocity – subcanopy and above canopy

Several correction methods have been proposed to calculate the mean vertical velocity, e.g. linear regression method [Lee, 1998], coordinate rotation [Finnigan *et al.*, 2003] and the planar fit method [Wilczak *et al.*, 2001]. We use the linear regression method by Lee [1998] to determine the “true” mean vertical velocity: $\bar{w} = w - a(\alpha_i) - b(\alpha_i)u$, where a and b are coefficients to be determined, for each α_i (10° azimuthal wind direction), by a linear regression of measured mean vertical velocity (w) and horizontal velocity (u) in the instrument coordinate system.

Figure 14a presents the original and the correction results by method application of the mean vertical velocity as function of wind direction. In Figure 14b, the results of the hourly mean vertical velocities for plateau K34, DRAINNO system (above and below canopy) and

valley B34 towers. As expected, low values were observed for all points of measurements, but non-zero values were also observed.

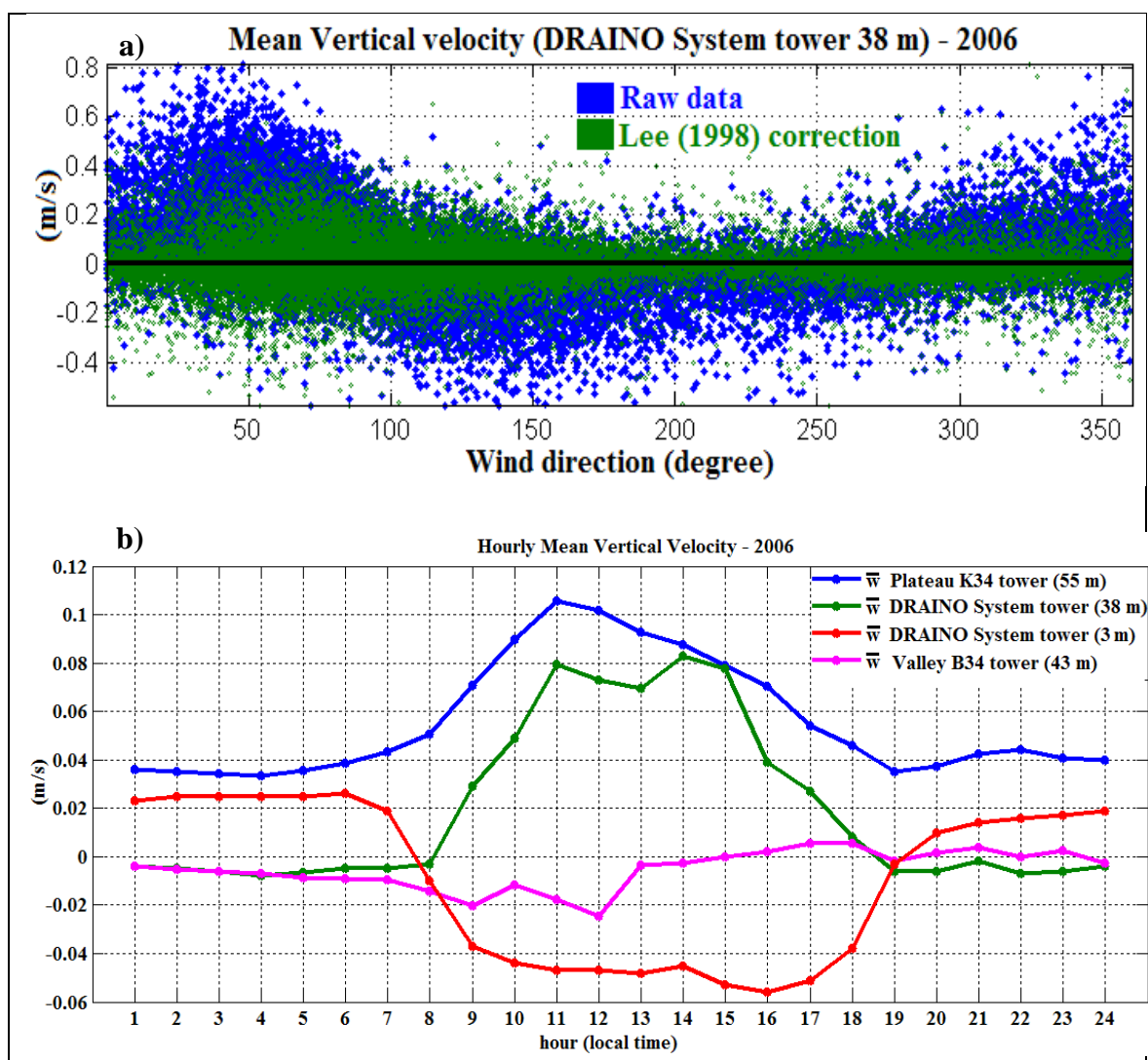


Figure 14: Mean vertical velocity raw and correct vertical velocity (a) for DRAINNO system slope tower (38 m), and hourly mean vertical velocity (b) for: plateau K34 tower (55 m), DRAINNO system slope tower (above canopy - 38 m and subcanopy - 3 m) and for valley B34 (43 m) towers (see Figure 4, for details).

On the plateau area, the mean vertical velocity was always positive indicating upward motion or vertical convergence at top of hill during night and daytime. In the valley area during nighttime, negative or zero values were observed, indicating a suppression of vertical motion (mixing) in the valley, as also reported by *de Araújo* [2009]. On the other hand, during the daytime a transition is observed, where beginning in the morning, downward motion is

observed, changing after mid-morning to upward motion (Figure 14b). This suggests that probably the cold air pooled during night moved downslope and started to warm, resulting in a breakdown the inversion over the valley (see *de Araújo* [2009], for detailed description and references there in for this process). The mechanism of the breakdown the inversion process over the valley is consistent with positive vertical velocity observed above canopy at slope area observed by the DRAINNO system tower during daytime (Figure 14b).

The subcanopy diurnal pattern of the mean vertical velocity observed shows positive values during nighttime and negative during daytime, consistent with observed up and downsloping flow regime, respectively (Figure 13 a, b). Also this is consistent with thermal vertical virtual potential temperature gradient on the slope (see Figure 9c), where during nighttime (daytime) an unstable (inversion) condition is associated with upward (downward) mean vertical velocity (see Figure 9c).

3.3. Phenomenology of the local circulations: Summary

The Figure 15 shows a schematic cartoon of local flow circulation from the previews sections observations.

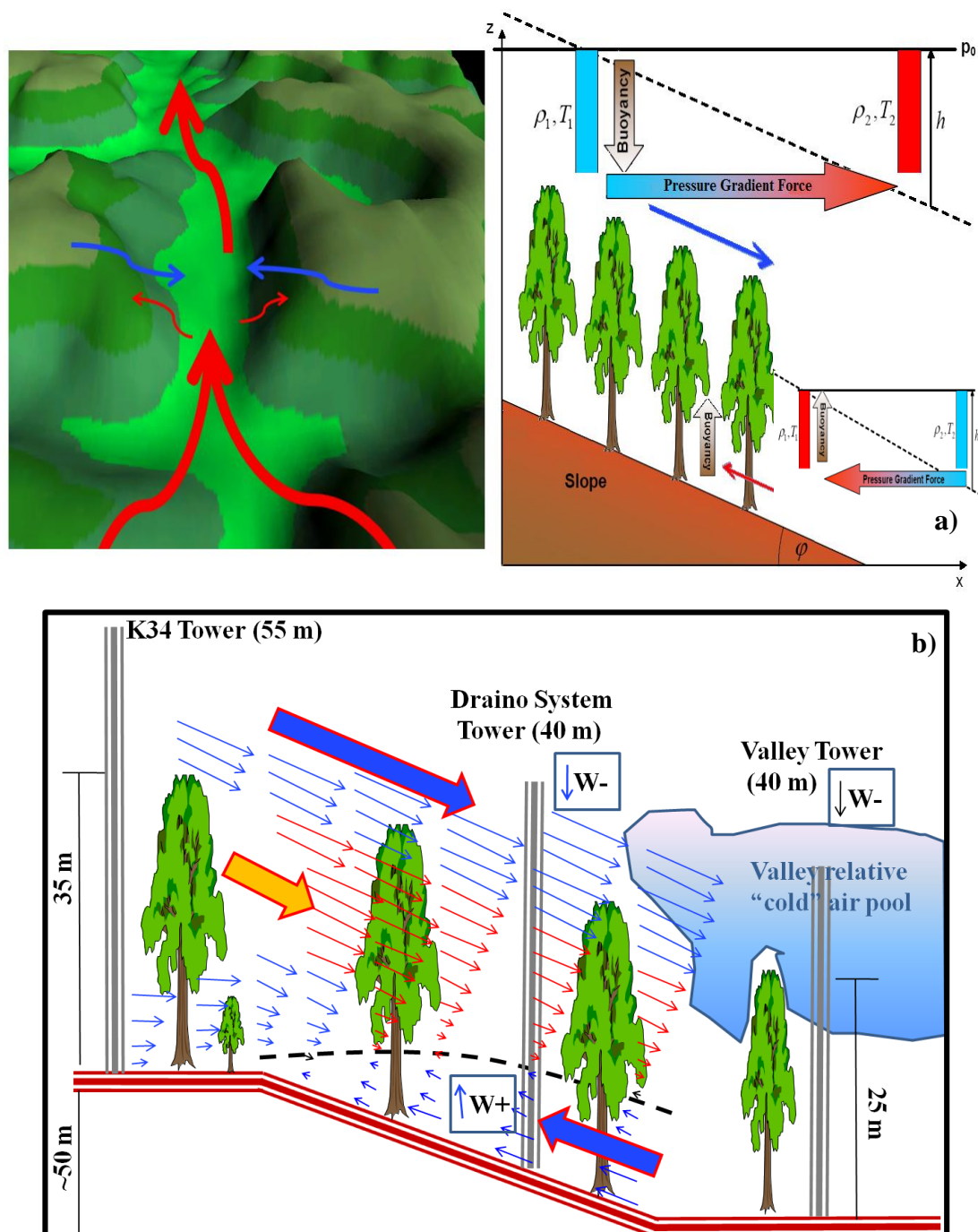


Figure 15: Schematic local circulations in the site studied, valley and slopes flow (a), 2D view from suggested below and above canopy airflow (b).

The above canopy airflow (red arrow Figure 15a) and forcing mechanisms associate. The observations result from previews sessions suggests that the balance of the buoyancy and

pressure gradient forces generates the airflow or microcirculations patterns in the site studied. During nighttime (Figure 15b), in the subcanopy there is an upslope flow reaching about 10 m height above ground, associate with positive mean vertical velocity (indicating upward movement). Also, above canopy there is a downslope flow associate with negative mean vertical velocity, downward convergence above the canopy. The microcirculation along the plateau-slope-valley is promotes by an feedback mechanism of accumulation of cold air drainage above canopy into the valley center (Figure 15b), creating the forcing need to sustain nighttime pattern. The air temperature structure above canopy in the valley (see Araújo, 2009) is a good indication of cold air pool in the center of the valley. Maybe, also, the local pressure gradient force due the cold air accumulation promoting the upward airflow in the both slopes of the valley. During daytime periods an inverse pattern is found (not show), indicating that this microcirculation is a systematic pattern in the site.

3.4. CO₂ concentration and subcanopy horizontal wind field

The CO₂ concentration was measured by DRAINNO system on the south face slope area for dry and wet periods of the 2006, and on the north face slope during dry period (Figure 4). The Figure 16 presents an example, for midnight (local time), of the horizontal wind field and spatial CO₂ concentration over the DRAINNO System south face domain. The wind field was interpolated from the blue points onto a 10 m grid. Similar procedures have been reported in the literature (*Sun et al.*, 2007; *Feigenwinter et al.*, 2008). The horizontal wind regime plays important role in modulating the horizontal spatial distribution of CO₂ concentration (Figure 16).

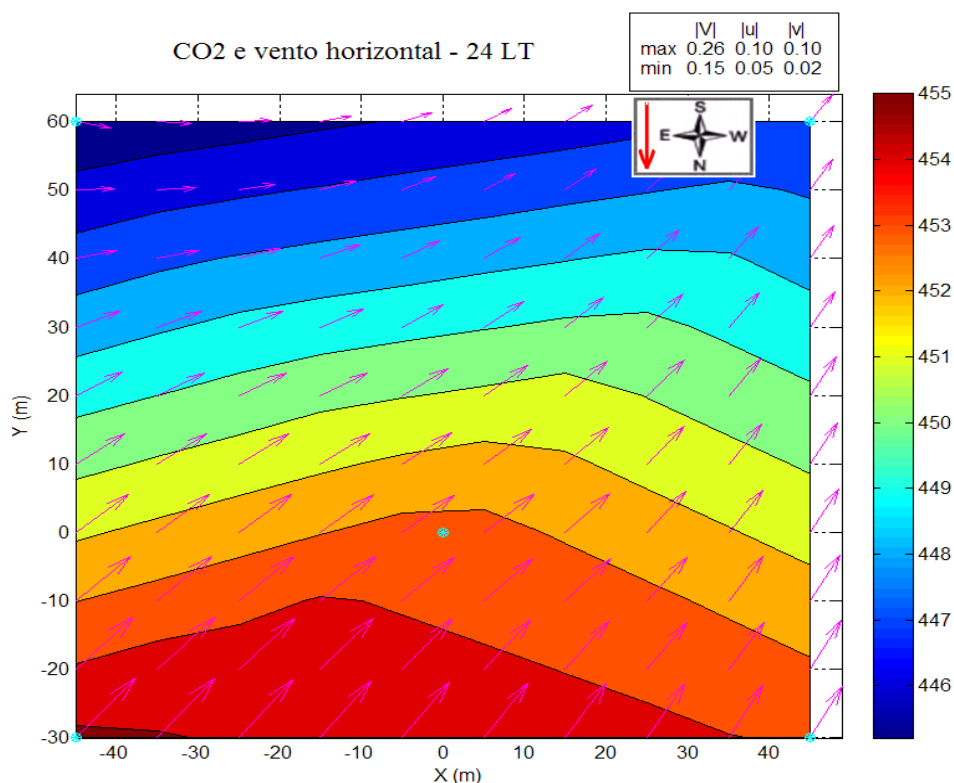


Figure 16: Example at midnight (local time) of the horizontal CO_2 concentration over the DRAINNO System south face domain including an interpolated horizontal wind field (10 m grid), note the geographic orientation and the red arrow indicating slope inclination (see Figure 4).

In Figure 17 (a, b, c) the typical pattern observed is shown for both dry and wet periods of the 2006 measured by the DRAINNO system on the south-facing slope area. During the daytime (Figure 17c), the wind prevailed downslope inducing a strong horizontal gradient of CO_2 in the slope area (about 0.2 ppmv m^{-1}). In the evening, periods of changes of the horizontal wind pattern (as described in section 3.1) show an upsloping regime in the lower-part and downsloping in the upper-part of the slope areas (Figure 17b). The wind regimes produce direct responses in the spatial feature of the horizontal gradient of CO_2 concentration. Later during the night, the upsloping regime is well established and also the horizontal gradient of CO_2 is growing from lower part of slope to the top (Figure 17a). These observations suggest a subcanopy drainage flow and its influence on the scalar spatial distribution. Therefore, as discussed in the previews sections, the flow above the canopy indicates a reverse pattern of downward motion (negative mean vertical velocity, see section

3.2.3) that suggests vertical convergence and possible horizontally divergent flow during nighttime. The report by *Froelich and Schmid* [2006] and more recently *Feigenwinter et al.*, [2009a, b] describing similar features of the airflow interaction between above and below canopy.

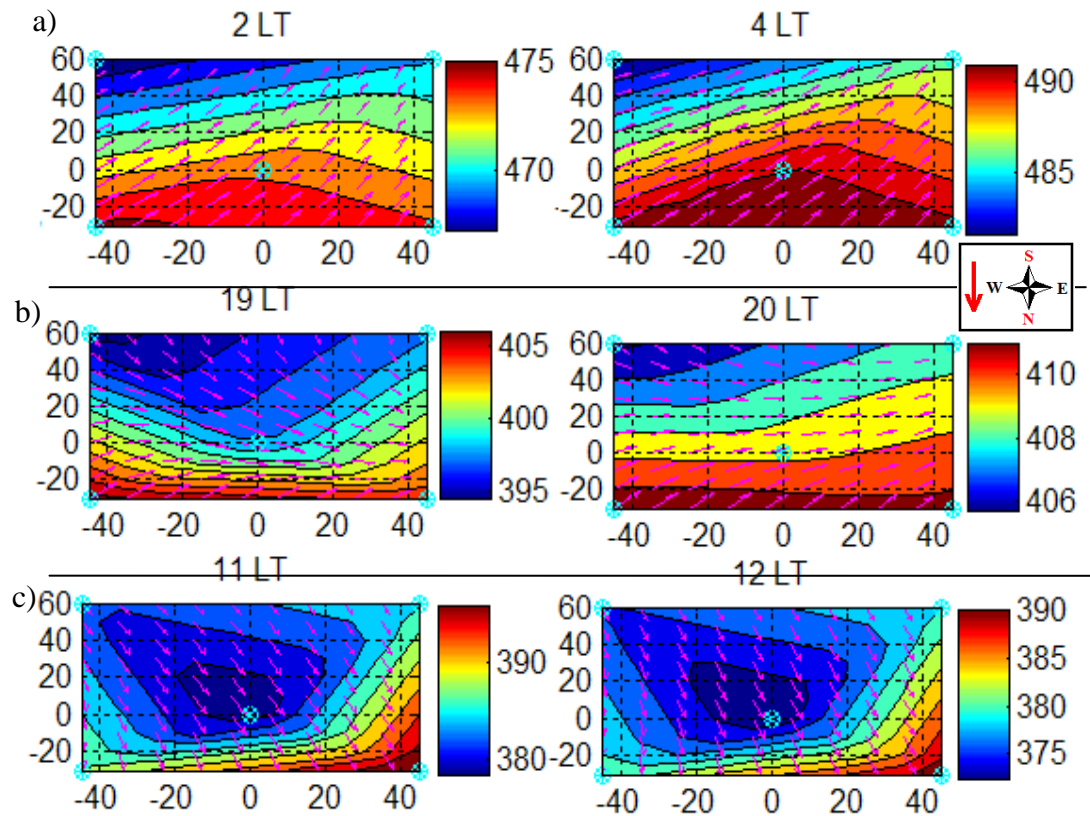


Figure 17: Hourly average of the subcanopy (2 m) CO₂ concentration and horizontal wind speed over DRAIN0 System south face area during dry period of the 2006, note the geographic orientation and the red arrow indicating slope inclination (see Figure 4). The axis represents distances from center of the main tower. Daytime (a), transition period - evening (b), established nighttime (c).

Along the north face, as shown in the Figure 18, the spatial distribution of the horizontal CO₂ concentration shows a similar pattern than the south face described previously. Despite, that there is no wind information in that area, if one assumes the same spatial correlation between horizontal wind and CO₂ concentration, is possible suggest that the wind should presents an inverse pattern from the south face. Its means that, during daytime the downslope wind direction should be from northeast (Figure 18c, from blue to red color).

During evening period (Figure 18b) should be indicating downslope (from northeast) in the upper part of the north face slope and upslope (from southeast) in the lower part of the slope, an inverse feature from Figure 17b. Finally, later in the night, on the north face slope, the wind pattern should present an upslope wind direction regime from southeast, an inverse regime that one from Figure 17a on the south face slope.

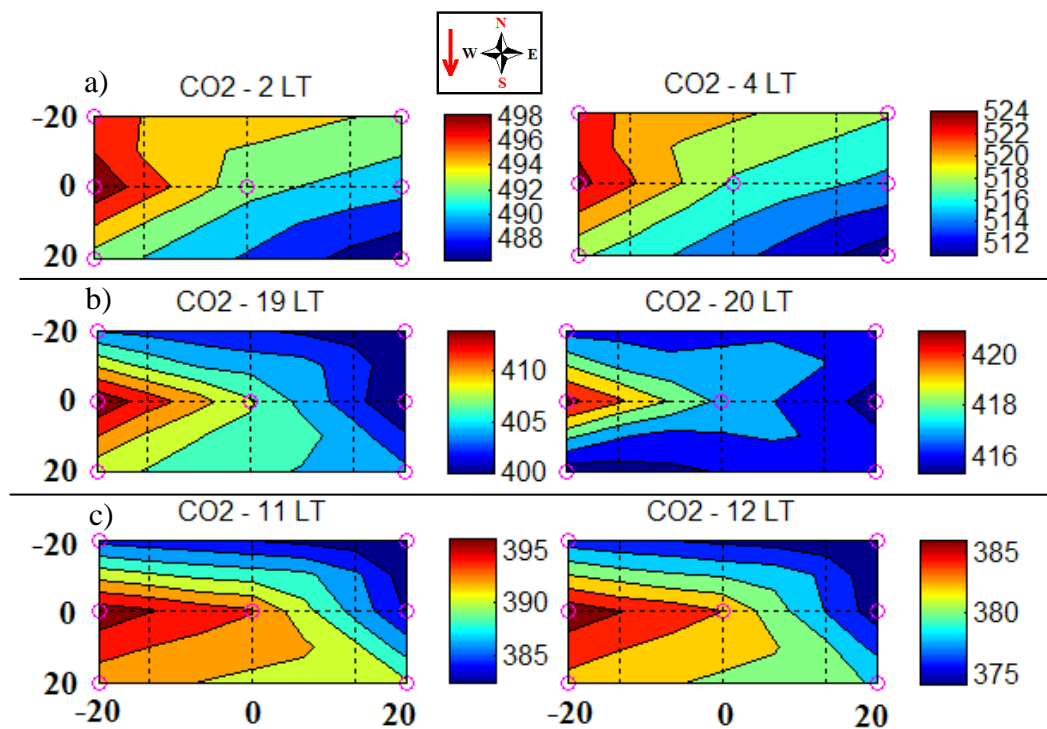


Figure 18: Hourly average of the subcanopy (2 m) CO₂ concentration on the DRAINNO System north face area during dry period of the 2006, note the geographic orientation and the red arrow indicating slope inclination (see Figure 4). The axis represents distances from center of the main tower. Daytime (a), transition period - evening (b), established nighttime (c).

One possible explanation to this subcanopy slopes wind regime and spatial distribution of CO₂ concentration, is the valley wind channeling effect and how it is meandering when enter in the valley topography [as described by Araújo, 2009]. This valley wind pattern, probably causes oscillations as those observed on the CO₂ concentration along the day (Figures 17, 18), the known “Seiche phenomena” (Spigel and Imberger, 1980).

4. Summary and Conclusions

The main objective of this study was to measure and understand the local circulation over a dense forest site in Manaus with moderately complex terrain and to verify the existence of the drainage flow regimes on slope and valley areas.

The main pattern of the airflow above and below the canopy in dense tropical forest in Amazonia was captured by a relative simple measure system, as also has been done by more sophisticated measurements system as those described recently by *Feigenwinter et al.*, [2009a, b].

As described and discuss in previews sections it was identified drainage flow in both day and nighttime periods in the site studied. Evidence of the drainage current above canopy was suggested by *Goulden et al.*, (2006) similar to that one observed here.

It was identified that the local micro-circulation was complicate and presented tri-dimensional nature where to estimate the advection flux at this site seems uncertain and not possible with the limited measurement system employed.

As reported recently by *Feigenwinter et al.*, [2009a, b], even using a more sophisticated measurement design, the level of uncertainties is still high and some processes are not yet known and need more exploration perhaps using a more complete spatial observation network or even applying model resources (*Foken*, 2008; *Aubinet*, 2008, *Belcher et al.*, 2008).

In summary, the drainage flow exists and is observed at K34 LBA site area and the high carbon uptake reported by previews work may be called into doubt and requires more research.

Also, the use of nighttime correction in order to save the urge necessity to estimate long term Net Ecosystem exchange is inappropriate by the using only turbulence information from above canopy, as has been pointed out here The interactions above and below canopy

breakdown the footprint principle and the representativeness of the eddy flux tower in most difficult conditions (complex terrain and calm nights).

In summary, the drainage flow exists and is observed at K34 LBA site. Very large carbon uptake estimates reported previously should be questioned [Kruijt *et al.*, 2004; Araújo *et al.*, 2002]. More research is needed. The use of nighttime u_* correction to avoid estimating canopy storage is inappropriate. One cannot get by using only above canopy turbulence information. The interactions between motions above and below canopy question the foundations of the footprint analysis [Schuepp *et al.*, 1990; Schmid, 2006]. The representativeness of the eddy flux tower is most in question for complex terrain, especially on calm nights).

CONCLUSÃO GERAL

No Capítulo I foi apresentado que:

- Foi realizado o primeiro esforço em determinar observacionalmente a importância dos processos de advecção noturna no balanço de CO₂ em uma densa floresta tropical na Amazônia.
- Foi testada a hipótese de que uma persistente advecção horizontal abaixo da floresta existe e transporta uma importante quantidade de CO₂ para fora do volume de controle representado pelas medidas da torre de fluxo do LBA em Santarém.
- Foi determinada a magnitude dos gradientes horizontais de CO₂ e do campo do vento abaixo da floresta e encontrado um saldo suficiente de advecção para afetar o balanço de CO₂.
- A metodologia estabelecida foi aplicada e testada para medir os gradientes horizontais de CO₂ e do vento horizontal dentro da floresta. Esses dados foram complementados pelos fluxos turbulentos e observações dos perfis médios obtidos em uma torre de 65 metros de altura no mesmo sítio experimental (sessão 2). As medidas foram realizadas durante o período das estações seca (DOY 198-238 2003 – Fase 1) e úmida (DOY 278-366 2004 e 1-32 2005 – Fase 2).
- Os gradientes horizontais médio de CO₂ e do vento horizontal foram da ordem de 0.02 ppm m⁻¹ e 0.12 m s⁻¹, respectivamente (seção 3.1).
- Abaixo da floresta a direção do vento horizontal foi bem correlacionada com a inclinação suave do terreno próxima a torre de medida dos fluxos. Foi observado que a direção do escoamento abaixo da floresta foi desacoplada do escoamento acima, o que sugere um potencial para transporte lateral de CO₂ mesmo durante o período diurno (sessão 3.2).
- O principal mecanismo físico responsável pela geração do escoamento noturno abaixo da floresta foi o termo de fluatibilidade negativa (seção 3.3).

- A comparação do déficit noturno entre a respiração total do ecossistema e NEE medida no sistema de fluxo da torre foi associado à advecção noturna média de CO₂, a qual representou 73% e 71% do mesmo, para 130 noites analisados durante os períodos seco e chuvoso estudados. Isto indica um importante papel da advecção noturna no balanço total de CO₂.
- Foi observado também que, durante períodos noturnos com níveis de turbulência significativos (u^* entre 0.3 a 0.6 m s⁻¹, limiares considerados suficiente para fornecer corretas medidas pelos fluxos turbulentos), o transporte de CO₂ pela advecção horizontal foi significativo.
- Esses resultados confirmam que poucos sítios de medidas de fluxos são suficientemente planos e homogêneos para ignorar *a priori* os efeitos da advecção horizontal. Estimativa observacional do efeito da velocidade vertical média no balanço de escalares aparece como a maior fonte de incertezas, e medidas continua e de longo prazo com instrumentação mais adequada são necessárias para esclarecer este tema.

No Capítulo II foi apresentado que:

- Seguindo a metodologia e design experimental desenvolvido no Capítulo I, foi possível ser aplicada também para um sítio experimental com topografia de maior complexidade.
- Foi observado que existem escoamentos de drenagem horizontal abaixo e acima da floresta, e que esses estão fortemente influenciados pela canalização do vento horizontal ao longo do vale da microbacia Asu próximo da torre de fluxo (K34) e das medidas nas encostas abaixo da floresta (Sistema DRAIN0).
- Especialmente no **sítio do LBA em Manaus**, não foi possível estimar quantitativamente a magnitude da advecção horizontal de CO₂, em função da complexa heterogeneidade topográfica da área produzindo uma complicada natureza tridimensional do escoamento

abaixo e acima da floresta, que se interagem e criando uma barreira para medir em detalhe todas as informações com a metodologia e instrumentação disponível neste estudo.

- As sub-estimativas noturnas das taxas de respiração através de medidas aplicando a técnica de “Eddy Covariance” sobre vários tipos de ecossistemas e terrenos já é bem reconhecida pela comunidade científica mundialmente. Os estudos observacionais aqui apresentados suportam esta hipótese demonstrando a importância do transporte horizontal que ocorre abaixo do nível de medida das torres de fluxo de ambos **os sítios de medidas do LBA**. E finalmente adverte que correções dos fluxos turbulentos noturnos com base em condições acima da vegetação somente podem ser inapropriadas e com significativa incerteza.
- Como sugestões os estudos de modelagem do tipo LES, já que foi identificado um padrão de micro circulações locais de natureza tridimensional, seriam de fundamental importância para avaliar e ajudar a entender melhor as observações aqui obtidas.

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